How Complete Is the Evidence for Thromboembolism Prophylaxis in General Medicine Patients? A Meta-Analysis of Randomized Controlled Trials

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OBJECTIVES: Guidelines recommend pharmacologic prophylaxis for hospitalized medical patients at increased risk of thromboembolism. Despite recommendations, multiple studies demonstrate underutilization. Factors contributing to underutilization include uncertainty that prophylaxis reduces clinically relevant events, as well as questions about the best form of prophylaxis. We sought to determine whether prophylaxis decreases clinically significant events and to answer whether unfractionated heparin (UFH) or low molecular weight heparin (LMWH) is either more effective or safer.

DATA SOURCES: The MEDLINE, EMBASE, CINAHL, and Cochrane databases were searched through June 2008. Relevant bibliographies and conference proceedings were reviewed and LMWH manufacturers were contacted.

STUDY SELECTION: Randomized trials comparing UFH or LMWH to control, as well as head-to-head comparisons of UFH to LMWH in general medicine patients.

DATA EXTRACTION AND ANALYSIS: End points of deep venous thrombosis (DVT), proximal or symptomatic DVT, pulmonary embolism, mortality, bleeding, and thrombocytopenia were extracted from individual trials. Pooled relative risks were calculated using random effects modeling.

RESULTS: We identified 8 trials comparing prophylaxis to control, and 6 trials comparing UFH to LMWH. Prophylaxis reduced DVT (relative risk [RR] = 0.55; 95% confidence interval [CI]: 0.36-0.92), proximal DVT (RR = 0.46; 95% CI: 0.31-0.69), and pulmonary embolism (RR = 0.70; 95% CI: 0.53-0.93). Prophylaxis increased the risk of any bleeding (RR = 1.54; 95% CI: 1.15-2.06) but not major bleeding. Across trials comparing LMWH to UFH, there were no differences for any outcome.

CONCLUSIONS: Among medical patients, pharmacologic prophylaxis reduced the risk of thromboembolism without increasing risk of major bleeding. The current literature does not demonstrate superior efficacy of UFH or LMWH.

KEYWORDS: deep venous thrombosis, prevention, prophylaxis, pulmonary embolism, thromboembolism.

Deep venous thrombosis (DVT) and pulmonary embolism (PE), collectively referred to as venous thromboembolism (VTE), are common events in hospitalized patients and result in significant morbidity and mortality. Often silent and frequently unexpected, VTE is preventable. Accordingly, the American College of Chest Physicians recommends that pharmacologic prophylaxis be given to acutely ill medical patients admitted to the hospital with congestive heart failure or severe respiratory disease, or to patients who are confined to bed who have additional risk factors, such as cancer or previous VTE. Three recent meta-analyses demonstrated significant reductions in VTE in general medicine patients with pharmacologic prophylaxis. Recently the National Quality Forum advocated that hospitals “evaluate each patient upon admission and regularly thereafter, for the risk of developing DVT/VTE and utilize clinically appropriate methods to prevent DVT/VTE.”

Despite recommendations for prophylaxis, multiple studies demonstrate utilization in <50% of at-risk general medical patients. Physicians’ lack of awareness may partially explain this underutilization, but other likely factors include physicians’ questions about the clinical importance of the outcome (eg, some studies have shown reductions primarily in asymptomatic distal DVT), doubt regarding the best form...
of prophylaxis (ie, unfractionated heparin [UFH] vs. low molecular weight heparin [LMWH]), uncertainty regarding optimal dosing regimens, and comparable uncertainty regarding which patients have sufficiently high risk for VTE to outweigh the risks of anticoagulation.

We undertook the current meta-analysis to address questions about thromboembolism prevention in general medicine patients. Does pharmacologic prophylaxis prevent clinically relevant events? Is LMWH or UFH preferable in terms of either efficacy or safety?

MATERIALS AND METHODS

Search Strategy

We conducted an extensive search that included reviewing electronic databases (MEDLINE, EMBASE, and CINAHL) through June 2008, reviewing conference proceedings, and contacting drug manufacturers. The MEDLINE search combined the key words “deep venous thrombosis,” “thromboembolism,” AND “pulmonary embolism” with the terms “primary prevention,” “prophylaxis,” OR “prevention.” We limited the search results using the filter for randomized controlled trials in PubMed. Similar strategies (available on request) were used to search EMBASE, CINAHL, and the Cochrane Central Register of Controlled Trials. We also searched the Cochrane Database of Systematic Reviews to identify previous reviews on the same topic. We obtained translations of eligible, non-English-language articles.

The proceedings of annual meetings from the American Thoracic Society, the American Society of Hematology, and the Society for General Internal Medicine from 1994 to 2008 were hand-searched for reports on DVT or PE prevention published in abstract form only. (Note: the American Society of Hematology was only available through 2007). We contacted the 3 main manufacturers of LMWH—Pfizer (dalteparin), Aventis (enoxaparin), Glaxo Smith Kline (nadoparin)—and requested information on unpublished pharmaceutical sponsored trials. First authors from the trials included in this meta-analysis were also contacted to determine if they knew of additional published or unpublished trials.

Inclusion and Exclusion Criteria

Studies were required to be prospective randomized controlled trials comparing UFH or LMWH to mechanical prophylaxis, placebo, or no intervention. We also included randomized head-to-head comparisons of UFH and LMWH. Eligible studies enrolled general medical patients. Trials including predominantly intensive care unit (ICU) patients; stroke, spinal cord, or acute myocardial infarction patients were excluded. We excluded trials focused on these populations because the risk for VTE may differ from that for general medical patients and because patients in these groups already commonly receive anticoagulants as a preventive measure or as active treatment (eg, for acute myocardial infarction [MI] care). Trials assessing thrombosis in patients with long-term central venous access/catheters were also excluded. Articles focusing on long-term rehabilitation patients were excluded.

Studies had to employ objective criteria for diagnosing VTE. For DVT these included duplex ultrasonography, venography, fibrinogen uptake scanning, impedance plethysmography, or autopsy as a primary or secondary outcome. Studies utilizing thermographic techniques were excluded. Eligible diagnostic modalities for PE consisted of pulmonary arteriogram, ventilation/perfusion scan, CT angiography, and autopsy.

After an initial review of article titles and abstracts, the full texts of all articles that potentially met our inclusion criteria were independently reviewed for eligibility by 2 authors (G.M.B., M.D.). In cases of disagreement, a third author (S.E) independently reviewed the article and adjudicated decisions.

Quantitative Data Synthesis and Statistical Analysis

For all included articles, 2 reviewers independently abstracted data on key study features (including population size, trial design, modality of VTE diagnosis, and interventions delivered to treatment and control groups), results (including the rates of all DVT, proximal DVT, symptomatic DVT, PE, and death), as well as adverse events (such as bleeding and thrombocytopenia). We accepted the endpoint of DVT when assessed by duplex ultrasonography, venography, autopsy, or when diagnosed by fibrinogen uptake scanning or impedance plethysmography. For all endpoints we abstracted event rates as number of events based on intention to treat. Each study was assessed for quality using the Jadad scale. The Jadad scale is a validated tool for characterizing study quality that accounts for randomization, blinding, and description of withdrawals and dropouts in individual trials. The Jadad score ranges from 0 to 5 with higher numbers identifying trials of greater methodological rigor.

The trials were divided into 4 groups based on the prophylaxis agent used and the method of comparison (UFH vs. control, LMWH vs. control, LMWH vs. UFH, and LMWH/UFH combined vs. control). After combining trials for each group, we calculated a pooled relative risk (RR) and a 95% confidence interval (CI) based on both fixed and a random effects model using the DerSimonian and Laird method. Heterogeneity of the included studies was evaluated with a chi-square statistic. The percentage of variation in the pooled RR attributable to heterogeneity was calculated and reported using the I-squared statistic. Sensitivity analyses were performed and included repeating all analyses using high-quality studies only (Jadad score 3 or higher). Publication bias was assessed using the methods developed by Egger et al. and Beg and Mazumdar. All analyses were performed using STATA SE version 9 (Stata Corp, College Station, TX).

RESULTS

Study Identification and Selection

The computerized literature search resulted in 5284 articles. Three additional citations were found by review of
bibliographies. No additional trials were identified from reviews of abstracts from national meetings. Representatives from the 3 pharmaceutical companies reported no knowledge of additional published or unpublished data. Of the 5287 studies identified by the search, 14 studies met all eligibility criteria (Figure 1).

Study Characteristics

The 14 trials eligible for inclusion in the analysis consisted of 8 comparisons of UFH or LMWH vs. control (Table 1) and 6 head-to-head comparisons of UFH and LMWH (Table 2). The 14 studies included 8 multicenter trials and enrolled a total of 24,515 patients: 20,594 in the 8 trials that compared UFH or LMWH with placebo and 3921 in the 6 trials that compared LMWH with UFH. Two trials exclusively enrolled patients with either congestive heart failure or severe respiratory disease, while 12 trials enrolled mixed populations. In 8 trials a period of immobility was necessary for study entry, while in 2 trials immobility was not required. In the 4 remaining trials immobility was not explicitly discussed. One-half of the trials required a length of stay greater than 3 days.

While minimum age for study entry varied, the patient population predominantly ranged from 65 to 85 years of age. Many of the trials reported expected, not actual, treatment duration. The range of expected treatment was 7 to 21 days, with 10 days of treatment the most frequently men-

TABLE 1. Summary of Randomized Controlled Trials Comparing Either LMWH or UFH to Control

<table>
<thead>
<tr>
<th>Study (Year)</th>
<th>Patients (n)</th>
<th>Duration (days)</th>
<th>VTE Risk Factors</th>
<th>Drug Dose</th>
<th>Comparison</th>
<th>Jardad Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>Belch et al. (1981)</td>
<td>150</td>
<td>8</td>
<td>Age 40-60 years; CHF; chest infection</td>
<td>UFH TID</td>
<td>None</td>
<td>FUS</td>
</tr>
<tr>
<td>Dahan et al. (1986)</td>
<td>230</td>
<td>10*</td>
<td>Age &gt; 65 years</td>
<td>Enoxaparin 60 mg</td>
<td>Placebo</td>
<td>FUS</td>
</tr>
<tr>
<td>Halkin et al. (1988)</td>
<td>1358</td>
<td>Not reported</td>
<td>Age &gt; 40 years; immobile</td>
<td>UFH BID</td>
<td>None</td>
<td>No</td>
</tr>
<tr>
<td>Mahe et al. (2005)</td>
<td>2474</td>
<td>13.08</td>
<td>Age &gt; 40 years; immobile</td>
<td>Nadroparin 7500 IU</td>
<td>Placebo</td>
<td>Autopsy</td>
</tr>
<tr>
<td>Gardlund (1996)</td>
<td>11693</td>
<td>8.2</td>
<td>Age &gt; 55 years; immobile</td>
<td>UFH BID</td>
<td>None</td>
<td>Autopsy</td>
</tr>
<tr>
<td>Samama et al. (1999)</td>
<td>738</td>
<td>7</td>
<td>Age &gt; 40 years; length of stay 5-21 days; CHF; respiratory failure or 1 additional risk factor</td>
<td>Enoxaparin 40 mg</td>
<td>Placebo</td>
<td>Venography</td>
</tr>
<tr>
<td>Leizorovicz et al. (2004)</td>
<td>3681</td>
<td>12.6</td>
<td>Age &gt; 40 years; length of stay 5-21 days; CHF; respiratory failure or 1 additional risk factor</td>
<td>Dalteparin 5000 IU</td>
<td>Placebo</td>
<td>DUS</td>
</tr>
<tr>
<td>Lederle et al. (2006)</td>
<td>280</td>
<td>13.4</td>
<td>Age &gt; 60 years; length of stay 5-21 days; CHF; respiratory failure or 1 additional risk factor</td>
<td>Enoxaparin 40 mg</td>
<td>Placebo</td>
<td>DUS</td>
</tr>
</tbody>
</table>

NOTE: *Duration of treatment* indicates the average length of prescriptions in days for active and control patients. "DVT assessed" refers to the modality used to diagnose DVT. "PE assessed" refers to the modality used to diagnose PE. "Composite" is an aggregate endpoint including VQ scanning, CT angiography, conventional angiography, or autopsy. The Jadad score reflects methodological quality, with higher scores reflecting better methodology (see Materials and Methods).

Abbreviations: BID, twice daily; CHF, congestive heart failure; CT, computed tomography; DUS, duplex ultrasonography; DVT, deep venous thrombosis; FUS, fibrinogen uptake scanning; IMP, impedance plethysmography; LMWH, low molecular weight heparin; PE, pulmonary embolism; TID, three times daily; UFH, unfractionated heparin; VQ, ventilation/perfusion; VTE, venous thromboembolism.
tioned. In the 8 trials\textsuperscript{14–16,21,22,24,25,27} that reported actual treatment duration, the range was 8 to 13.4 days. Most trials did not report number of VTE risk factors per patient, nor was there uniform acceptance of risk factors across trials.

**UFH or LMWH vs. Control**

Across 7 trials comparing either UFH or LMWH to control, heparin products significantly decreased the risk of all DVT (RR = 0.55; 95% CI: 0.36-0.83) (Figure 2A). When stratified by methodological quality, 5 trials\textsuperscript{16,22–25} with Jadad scores of 3 or higher showed an RR reduction of 0.53 (95% CI: 0.38-0.72) in reducing all DVT. All of the higher-quality trials compared LMWH to placebo. Across 4 trials that reported data for symptomatic DVT there was a nonsignificant reduction in RR compared with placebo (RR = 0.73; 95% CI: 0.45-1.16) (Figure 2B). Only 2 trials\textsuperscript{24,25} (both LMWH trials) reported results for proximal DVT and demonstrated significant benefit of prophylaxis with a pooled RR of 0.46 (95% CI: 0.31-0.69) (Figure 2C).

**PE**

Across 7 trials comparing either UFH or LMWH to control, heparin products significantly decreased the risk of PE (RR = 0.70; 95% CI: 0.53-0.93) (Figure 3A). The 5 trials\textsuperscript{16,22–25} with Jadad scores of 3 or greater showed a similar relative risk reduction, but the result was no longer statistically significant (RR = 0.56; 95% CI: 0.31-1.02). Two of the trials\textsuperscript{16,21} relied solely on the results of autopsy to diagnose PE, which may have given rise to chance differences in detection due to generally low autopsy rates. Eliminating these 2 studies from the analysis resulted in loss of statistical significance for the reduction in risk for PE (RR = 0.48; 95% CI: 0.20-1.15).

**Death**

Seven trials\textsuperscript{16,20–25} comparing either UFH or LMWH to control examined the impact of pharmacologic prophylaxis on death and found no significant difference between treated and untreated patients across all trials (RR = 0.92; 95% CI: 0.82-1.03) and those limited to studies with Jadad scores of 3 or higher (RR = 0.97; 95% CI: 0.80-1.17).

**LMWH vs. UFH**

In 6 trials\textsuperscript{14,17–19,26,27} comparing LMWH to UFH given either twice a day (BID) or 3 times a day (TID), there was no statistically significant difference in all DVT (RR = 0.90; 95% CI: 0.57-1.43). (For all analyses RRs <1 favor LMWH, while RRs >1 favor UFH.) A total of 2 trials\textsuperscript{14,18} reported results separately for proximal DVT with no statistically significant difference noted between UFH and LMWH (RR = 1.60; 95% CI: 0.53-4.88). One small trial\textsuperscript{26} reported findings comparing UFH to LMWH for prevention of symptomatic DVT with no difference noted.
Pooled data from the 5 trials comparing UFH to LMWH in the prevention of PE showed no statistically significant difference in rates of pulmonary embolism (RR = 0.82; 95% CI: 0.26-2.63) (Figure 3B). In sensitivity analysis this result was not impacted by Jadad score.

**Death**

When UFH was compared to LMWH no statistically significant difference in the rate of death was found (RR = 0.96; 95% CI: 0.50-1.85). Here again, no difference was noted when limited to studies with Jadad scores of 3 or higher.

**Complications**

We evaluated adverse events of heparin products used for prophylaxis and whether there were differences between
UFH and LMWH. Reporting of complications was not uniform from study to study, making pooling more difficult. However, we were able to abstract data on any bleeding, major bleeding, and thrombocytopenia from several studies. In 5 studies\(^{15,16,23–25}\) of either UFH or LMWH vs. control, a significantly increased risk of any bleeding (RR = 1.54; 95% CI: 1.15-2.06) (Figure 4A) was found. When only major bleeding was evaluated, no statistically significant difference was noted (RR = 1.20; 95% CI: 0.55-2.58) (Figure 4B). In 4 trials\(^{16,22,24,25}\), the occurrence of thrombocytopenia was not significantly different when comparing UFH or LMWH to control (RR = 0.92; 95% CI: 0.46-1.86).

When LMWH was compared to UFH in 4 trials,\(^{14,17,18,27}\) a nonsignificant trend toward a decrease in any bleeding was found in the LMWH group (RR = 0.72; 95% CI: 0.44-1.16) (Figure 5A). A similar trend was seen favoring LMWH in rates of major bleeding (RR = 0.57; 95% CI: 0.25-1.32) (Figure 5B).

**Heterogeneity and Publication Bias**

No statistically significant heterogeneity was identified between trials for any outcomes. The highest I-squared value was 54.5% \((P = 0.14)\) for the endpoint of thrombocytopenia when UFH was compared to LMWH. In some cases, the nonsignificant results for tests of heterogeneity may have reflected small numbers of trials, but the values for I-squared for all other endpoints were close to zero indicating that little nonrandom variation existed in the results across
studies. All analyses were run using both random effects and fixed effects modeling. While we report results for random effects, no significant differences were observed using fixed effects.

We tested for publication bias using the methods developed by Egger et al. and Begg and Mazumdar. There was evidence of bias only for the outcome of PE when prophylaxis was compared to control, as the results for both tests were significant (Begg and Mazumdar: $P = 0.035$; Egger et al.: $P = 0.010$). For other outcomes tested, including all DVT (prophylaxis compared to control, and LMWH vs. UFH) as well as PE (LMWH vs. UFH), the $P$-values were not significant.

**DISCUSSION**

When compared to control, LMWH or UFH decreased the risk of all DVT by 45% (RR = 0.55; 95% CI: 0.36-0.83) and proximal DVT by 54% (RR = 0.46; 95% CI: 0.31-0.69). PE was also decreased by 30% (RR = 0.70; 95% CI: 0.53-0.93). Of note, when prophylaxis was compared with placebo all of the high-quality studies showing a benefit were done using LMWH. The benefits of prophylaxis occurred at the cost of a 54% increased overall risk of bleeding (RR = 1.54; 95% CI 1.15-2.06). However, the risk of major bleeding was not significantly increased. We did not find a mortality benefit to pharmacologic thromboembolism prophylaxis.

When comparing UFH to LMWH, we noted no difference in all DVT, symptomatic DVT, proximal DVT, PE, or death. While there was a trend toward less bleeding with LMWH, this was not statistically significant.

Taken in aggregate, our findings are in agreement with previous published meta-analyses reporting net benefit for thromboembolism prophylaxis in medical patients. Our meta-analysis has several methodological strengths over the prior studies, including a comprehensive search of both the published and unpublished literature and assessment of the relationship between methodological quality of included trials and reported benefit. In contrast to previous reviews, our analysis highlights several limitations of the current evidence.

First, many of the studies are older, with predicted lengths of stay of greater than 1 week. The 8-13-day range of treatment duration we found in this study is longer than the average length of stay in today’s hospitals. Second, there is variability in the diagnostic tests used to diagnose DVT, as well as variation in the definition of DVT among studies. Studies using fibrinogen uptake scanning reported rates of DVT as high as 26% while studies using venography reported DVT rates of almost 15% in the placebo arm. These rates are higher than most physicians’ routine practice. One reason for this discrepancy is most studies did not distinguish below-the-knee DVT from more clinically relevant above-the-knee DVT. Systematic reviews of medical and surgical patients have found rates of proximal propagation from 0% to 29% in untreated patients. Though controversial, below-the-knee DVT is believed less morbid than proximal DVT or symptomatic DVT. We addressed this by focusing specifically on clinically relevant endpoints of proximal and symptomatic DVT. When we restricted our analysis to proximal DVT we found a 54% RR reduction in 2 pooled trials of LMWH compared to placebo. In pooled analyses symptomatic DVT was not affected by prophylaxis. When compared head-to-head there were no differences between LMWH and UFH for proximal DVT or symptomatic DVT.

When considering PE, the utilization of autopsy as the sole diagnostic method in 2 large trials is particularly problematic. In the trial by Garlund, the mortality rate was 5.4%, with an autopsy rate of 60.1%. Similarly, in the trial by Mahe et al., the mortality rate was 10%, with an autopsy rate of 49%. Given the low absolute number of deaths and substantial proportion of decedents without autopsy, the potential for chance to produce an imbalance in detection of PE is high in these studies. When we excluded these 2 trials, we found that PE was no longer reduced to a statistically significant degree by prophylaxis. Loss of significance for PE in 2 sensitivity analyses (when excluding studies of lower quality, or using autopsy as a sole diagnostic study) is problematic and calls into question the true benefit of prophylaxis for prevention of PE.

Another limitation of the current literature centers on the variability of dosing used. We pooled trials of UFH whether given BID or TID. Given the small number of trials we did not do sensitivity analyses by dosage. A recent meta-analysis found both doses are efficacious, while a recent review article suggested superiority of TID dosing. We believe the available literature does not clearly address this issue. Regarding comparisons of LMWH to UFH, dosing variability was also noted. The trial by Bergmann and Neuhaus used enoxaparin 20 mg per day and found similar efficacy to UFH BID, while the Samama et al. trial found enoxaparin 20 mg per day no more efficacious than placebo. While the literature does not clearly define a best dose, we believe enoxaparin doses lower than 40 mg daily do not reflect the standard of care.

An additional limitation of the literature is publication bias. We assessed the possibility of publication bias by a variety of means. We did find statistical evidence of publication bias for the outcome of PE when prophylaxis was compared to control. Importantly, two meta-analyses on thromboembolism prophylaxis for general medicine patients suggested publication bias is present and our finding supports this conclusion. While no test for publication bias is foolproof, the best protection against publication bias, which we pursued in our study, consists of a thorough search for unpublished studies, including a search of conference proceedings, contact with experts in the field, and manufacturers of LMWH.

A final limitation of the current literature centers on risk assessment. All of the trials in this meta-analysis included patients with an elevated level of risk. Unfortunately, risk was not clearly defined in many studies, and there was no minimum level of risk between trials. While immobility, age, and length of stay were reported for most studies, other risk
factors such as personal history of thromboembolism and malignancy were not uniformly reported. Based on our analysis we are not confident our results can be extrapolated to all general medical patients.

In conclusion, we found good evidence that pharmacologic prophylaxis significantly decreases the risk of all DVT and proximal DVT in at-risk general medical patients. However, only LMWH was shown to prevent proximal DVT. We found inconclusive evidence that prophylaxis prevents PE. When compared directly we did not find clear superiority between UFH and LMWH, though several limitations of the current literature hamper decision-making. Given the lower cost, it may seem justified to use UFH. However, there are other practical issues, such as the fact that LMWH is given once daily, and so potentially preferred by patients and more efficient for nurses. All of these results pertain to patients with elevated risk. While we did not find significant safety concerns with prophylaxis we do not know if these results can be extrapolated to lower-risk patients. We believe that recommending widespread prophylaxis of all general medicine patients requires additional evidence about appropriate patient selection.

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Evidence for Thromboembolism Prophylaxis  

Bump et al. 297


